

# Is HIV-1 Losing Fitness Due to Genetic Entropy?

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## Abstract

Evasion of cytotoxic T lymphocytes is a major driving force of HIV-1 evolution within a host. In a genetically homogenous population where some MHC class I types are dominant, repeated selection of escape mutants can cause HIV-1 to lose fitness. However, in a heterogenous population, reversions are more frequent and attenuation of HIV is slower. HIV-1 may have experienced adaptation to the human host after crossing species from primates, but immune selection and random drift are causing the viral genome to degenerate. Antiviral therapy further cuts into viral fitness, even when the drugs are resisted. HIV-1 is an important example which shows that genetic entropy is operating throughout the biological realm, even while meaningful genetic adaptations are occurring.

**Keywords:** HIV-1, fitness, genetic entropy

## Introduction

Human immunodeficiency virus type 1 (HIV-1), causative agent of the AIDS pandemic, is notorious for rapidly accumulating mutations due to its error-prone RNA polymerase and the absence of RNA repair enzymes. However, long-term maintenance of proviral DNA in resting host cells prevents the viral genome from rapid degeneration (Salgado et al. 2010). The diploid nature of the viral genome and frequent recombination also helps to preserve lentiviral lineages. The situation is analogous to the stability of diploid germline genomes in higher organisms because reproductive cells experience fewer cycles of cell division and undergo regular genetic recombination during meiosis. Nevertheless, we know that even higher genomes are degenerating (Sanford 2014).

Since its introduction into mankind in the beginning of the twentieth century (Sharp and Hahn 2011; Wertheim and Worobey 2009), HIV-1 has replicated in humans through a great many generations—which is comparable with deep evolutionary time in higher organisms (Behe 2007). Therefore, analyzing the evolution of HIV-1 through the past few decades offers a glimpse into the evolution of diploid cellular genomes through evolutionary time. The major forces that shape the HIV-1 genome are immune evasion, random drift, and antiretroviral therapy.

## Racing to Stay Ahead of Host Antibodies

The impressive reproduction rate of HIV-1 (up to  $10^{10}$  viral particles daily, Goering et al. 2013) affords strong selection. The most important selective force in untreated patients is host immunity. The infected host produces antibodies, especially antibodies against the surface glycoprotein, Env, which can

neutralize extracellular viral particles (Wei et al. 2003). However, mutations in the *env* gene of HIV-1 quickly render the antibodies powerless. The host can produce new antibodies against the changing virus, but viral evolution is always faster than antibody development. Even though natural selection generally results in directional evolution, the random nature of antibody development and the recurrent nature of HIV mutation results in cyclic selection (Shriner et al. 2004). In the long run, antibodies do not drive the virus anywhere specific. Conceivably, some mutants have compromised replication capacity (fitness), but when the virus is transmitted into another host, antibody-driven mutations will likely revert as higher replication capacity is favored.

## War of Attrition Against T lymphocytes

In HIV infection, antibodies are not nearly as protective as cytotoxic T lymphocytes (CTLs), which attack and kill HIV-infected cells. In contrast to the almost unlimited number of antibodies that a body can produce, the CTL response is limited by selective presentation of viral peptides (epitopes) on the surface of the infected cell, using MHC class I molecules as carriers (see fig. 1 after Kaiser 2008). Each person has a unique set of six types of MHC-I molecules. The uniqueness is due to diverse forms of MHC genes in the population. The MHC-I molecules of an infected individual presents a unique repertoire of HIV peptides to his/her CTL cells. However, the overall number of HIV peptides presentable by human MHC-I molecules is limited (a few hundred, see the official list in table 1, reviewed by Llano et al. 2013).

During the early stages of infection, the CTL response successfully brings HIV replication under

Table 1. Best-defined CTL epitomes of HIV-1.

Epitope	Protein	HXB2 Location	Subprotein	HXB2 DNA Contig	Subtype	Species	HLA
<a href="#">GELDRWEKI</a>	Gag	11-19	p17(11-19)	820..846		human	B*4002
<a href="#">KIRLRPGGK</a>	Gag	18-26	p17(18-26)	841..867		human	A*0301
<a href="#">IRLRPGGKK</a>	Gag	19-27	p17(19-27)	844..870	B	human	B*2705
<a href="#">RLRPGGKKK</a>	Gag	20-28	p17(20-28)	847..873		human	A*0301
<a href="#">RLRPGGKKKY</a>	Gag	20-29	p17(20-29)	847..876	B	human	A*0301
<a href="#">RPGGKKKYKL</a>	Gag	22-31	p17(22-31)	853..882	B	human	B*5101
<a href="#">GGKKKYKLK</a>	Gag	24-32	p17(24-32)	859..885	B	human	B*0801
<a href="#">KYKLRHIVW</a>	Gag	28-36	p17(28-36)	871..897	B	human	A*2402
<a href="#">HLVWASREL</a>	Gag	33-41	p17(33-41)	886..912		human	Cw*0804
<a href="#">LVWASRELERF</a>	Gag	34-44	p17(34-44)	889..921	B	human	A30
<a href="#">WASRELERF</a>	Gag	36-44	p17(36-44)	895..921	B	human	B*3501
<a href="#">ELRSLYNTV</a>	Gag	74-82	P17(74-82)	1009..1035		human	B*0801
<a href="#">RSLYNTVATLY</a>	Gag	76-86	p17(76-86)	1015..1047	B	human	A*3002, B58, B63
<a href="#">SLYNTVATL</a>	Gag	77-85	p17(77-85)	1018..1044	B	human	A*0201, A*0202, A*0205
<a href="#">SLYNTVATLY</a>	Gag	77-86	p17(77-86)	1018..1047	B	human	A*0201
<a href="#">LYNTVATL</a>	Gag	78-85	p17(78-85)	1021..1044		human	Cw14
<a href="#">LYNTVATLY</a>	Gag	78-86	p17(78-86)	1021..1047		human	A*2092, B*4403
<a href="#">TLYCVHOK</a>	Gag	84-91	p17(84-91)	1039..1062		human	A*1101
<a href="#">IEIKDTKEAL</a>	Gag	92-101	p17(92-101)	1063..1092		human	B*4001
<a href="#">NSSKVSONY</a>	Gag	124-132	p17(124-132)	1159..1185	B	human	B*3501
<a href="#">VONLOGOMV</a>	Gag	135-143	p24(3-11)	1192..1218		human	B13
<a href="#">HOAISPRTL</a>	Gag	144-152	p24(12-20)	1219..1245		human	B*1510
<a href="#">OAI SPRTLNAW</a>	Gag	145-155	p24(13-23)	1222..1254	B	human	A*2501
<a href="#">ISPRTLNAW</a>	Gag	147-155	p24(15-23)	1228..1254		human	B*5701, B63
<a href="#">SPRTLNAWV</a>	Gag	148-156	p24(16-24)	1231..1257		human	B*0702
<a href="#">VKVIEEKAF</a>	Gag	156-164	p24(24-32)	1255..1281		human	B*1503
<a href="#">EEKAFSPEV</a>	Gag	160-168	p24(28-36)	1267..1293		human	B*4415
<a href="#">KAFSPEVI</a>	Gag	162-169	p24(30-37)	1273..1296	B	human	B*5703
<a href="#">KAFSPEVIPMF</a>	Gag	162-172	p24(30-40)	1273..1305	B	human	B*5701, B*5703, B63
<a href="#">FSPEVIPMF</a>	Gag	164-172	p24(32-40)	1279..1305		human	B57
<a href="#">EVIPMFSAL</a>	Gag	167-175	p24(35-43)	1288..1314	B	human	A*2601, A*2602, A*2603
<a href="#">VIPMFSAL</a>	Gag	168-175	p24(36-43)	1291..1314	B	human	Cw*0102
<a href="#">SEGATPODL</a>	Gag	176-184	p24(44-52)	1315..1341		human	B*4001
<a href="#">TPODLNTML</a>	Gag	180-188	p24(48-56)	1327..1353	B	human	B*0702, B*3910, B*4201, B*8101, Cw*0802
<a href="#">TPODLNMML</a>	Gag	180-188	p24(48-56)	1327..1353	A	human	B53
<a href="#">TPYDINOML</a>	Gag	180-188	p24(48-56)	1327..1353	HIV-2	human	B*5301
<a href="#">GHOAAMOML</a>	Gag	193-201	p24(61-69)	1366..1392	B	human	B*1510, B*3901

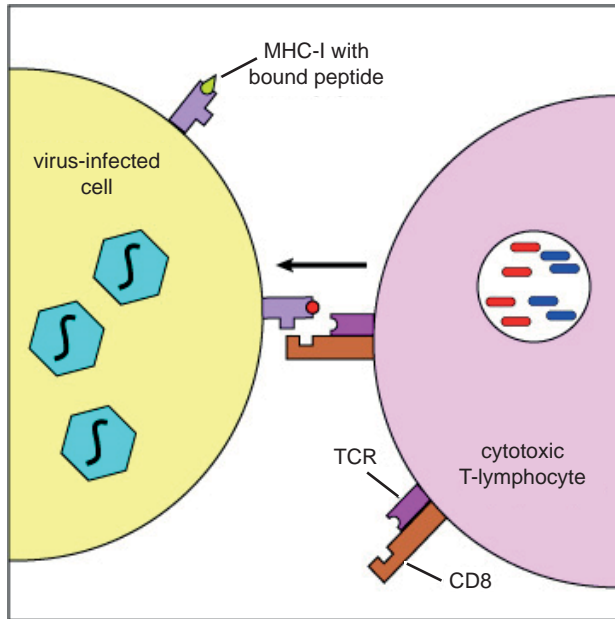
<a href="#">KETINEEAA</a>	Gag	202-210	p24(70-78)	1393..1419		human	B*4002
<a href="#">ETINEEAAEW</a>	Gag	203-212	p24(71-80)	1396..1425		human	A*2501
<a href="#">AEWDRVHPV</a>	Gag	210-218	p24(78-86)	1417..1443		human	B*4002
<a href="#">HPVHAGPIA</a>	Gag	216-224	p24(84-92)	1435..1461		human	B*3501, B7
<a href="#">GOMREPRGSDI</a>	Gag	226-236	p24(94-104)	1465..1497		human	B13
<a href="#">TSTLOEOIGW</a>	Gag	240-249	p24(108-117)	1507..1536	B	human	B*5701, B*5801
<a href="#">NPPIPVGDII</a>	Gag	253-262	p24(121-130)	1546..1575		human	B*3501
<a href="#">PPIPVGDIY</a>	Gag	254-262	p24(122-130)	1549..1575	B	human	B*3051
<a href="#">EYKRWII</a>	Gag	260-267	p24(128-135)	1567..1590	B	human	B*0801
<a href="#">RRWIOGLGLOK</a>	Gag	263-272	p24(131-140)	1576..1605		human	B*2703
<a href="#">KRWIIILGLNK</a>	Gag	263-272	p24(131-140)	1576..1605	B	human	B*2705
<a href="#">GLNKIVRMV</a>	Gag	269-277	p24(137-145)	1594..1620	B	human	B*1501, B62
<a href="#">VRMYSVSI</a>	Gag	274-282	p24(142-150)	1609..1635		human	Cw18
<a href="#">RMYSPTSI</a>	Gag	275-282	p24(143-150)	1612..1635		human	B*5201
<a href="#">YSPVSILDI</a>	Gag	277-285	p24(145-153)	1618..1644	CRF01_ AE	human	Cw*0102
<a href="#">FRDYVDRFF</a>	Gag	293-301	p24(161-169)	1666..1692		human	Cw18
<a href="#">FRDYVDRFYK</a>	Gag	293-302	p24(161-170)	1666..1695	B, D	human	B*1801
<a href="#">RDYVDRFFKTL</a>	Gag	294-304	p24(162-172)	1669..1701	A	human	A*2402
<a href="#">RDYVDRFYKTL</a>	Gag	294-304	p24(162-172)	1669..1701	B	human	B*4402
<a href="#">YVDRFYKTL</a>	Gag	296-304	p24(164-172)	1675..1701		human	A*0207
<a href="#">YVDRFFKTL</a>	Gag	296-304	p24(164-172)	1675..1701		human	B*1503, Cw*0303, Cw*0304
<a href="#">DRFYKTLRA</a>	Gag	298-306	p24(166-174)	1681..1707	B	human	B*1402
<a href="#">AEOASODVKNW</a>	Gag	306-316	p24(174-184)	1705..1737	B	human	B*4402
<a href="#">AEOASOEVKN- WM</a>	Gag	306-317	p24(174-185)	1705..1740		human	Cw5
<a href="#">OASOEVKNW</a>	Gag	308-316	p24(176-184)	1711..1737	B	human	B*5301, B*5701, B*5801
<a href="#">VKNWMTETL</a>	Gag	313-321	p24(181-189)	1726..1752	B	human	B*4801
<a href="#">DCKTILKAL</a>	Gag	329-337	p24(197-205)	1774..1800	B	human	B*0801
<a href="#">ACOGVGGPGHK</a>	Gag	349-359	p24(217-227)	1834..1866		human	A*1101
<a href="#">GPGHKARVL</a>	Gag	355-363	p24(223-231)	1852..1878	B	human	B*0702
<a href="#">AEAMSOVTNS</a>	Gag	364-373	p2p7p1p6(1-10)	1879..1908		human	B*4501
<a href="#">CRAPRKKGK</a>	Gag	405-413	p2p7p1p6(42-50)	2002..2028		human	B14
<a href="#">TEROANFL</a>	Gag	427-434	p2p7p1p6(64-71)	2068..2091		human	B*4002
<a href="#">ROANFLGKI</a>	Gag	429-437	p2p7p1p6(66-74)	2074..2100	B	human	B*4801, B13
<a href="#">FLGKIWPSYK</a>	Gag	433-442	p2p7p1p6(70-79)	2086..2115		human	A*0201
<a href="#">KELYPLTSL</a>	Gag	481-489	p2p7p1p6(118-126)	2230..2256		human	B*4001
<a href="#">NSPTRREL</a>	Pol	24-31	Gag/Pol-TF(24-31)	2154..2177		human	Cw*0102
<a href="#">ITLWORPLV</a>	Pol	59-67	Protease(3-11)	2259..2285	A, B, D	human	A*6802, A*7401
<a href="#">DTVLEEWNL</a>	Pol	86-94	Protease(30-38)	2340..2366	C	human	A*6802
<a href="#">EEMNLPGRW</a>	Pol	90-98	Protease(34-42)	2352..2378		human	B44
<a href="#">ROYDOILIEI</a>	Pol	113-122	Protease(57-66)	2421..2450		human	B13
<a href="#">GKKAIGTVL</a>	Pol	124-132	Protease(68-76)	2454..2480		human	B*1503
<a href="#">KAIGTVLV</a>	Pol	126-133	Protease(70-77)	2460..2483		human	B57
<a href="#">LVGPTPVNI</a>	Pol	132-140	Protease(76-84)	2478..2504		human	A*0201

<a href="#">TPVNIIGRNML</a>	Pol	136-146	Protease(80-90)	2490..2522		human	B81
<a href="#">FPISPIETV</a>	Pol	155-163	Protease(99)-RT(8)	2547..2573	B	human	B*5401
<a href="#">IETVPVKL</a>	Pol	160-167	RT(5-12)	2562..2585		human	B*4001
<a href="#">GPKVKOWPL</a>	Pol	173-181	RT(18-26)	2601..2627	B	human	B*0801
<a href="#">ALVEICTEM</a>	Pol	188-196	RT(33-41)	2646..2672	B	human	A*0201
<a href="#">ALVEICTEMEK</a>	Pol	188-198	RT(33-43)	2646..2678		human	A*0301
<a href="#">KLVDFRELNK</a>	Pol	228-237	RT(73-82)	2766..2795		human	A*0301
<a href="#">GIPHPAGLK</a>	Pol	248-256	RT(93-101)	2826..2852	B	human	A*0301
<a href="#">TVLDVGDAY</a>	Pol	262-270	RT(107-115)	2868..2894		human	B*3501
<a href="#">VPLDEDFRKY</a>	Pol	273-282	RT(118-127)	2901..2930		human	B*3501
<a href="#">YTAFTIPSV</a>	Pol	282-290	RT(127-135)	2928..2954		human	A2
<a href="#">TAFTIPSI</a>	Pol	283-290	RT(129-135)	2931..2954		human	B*5101
<a href="#">NETPGIRYOY</a>	Pol	292-301	RT(137-146)	2958..2987		human	B18
<a href="#">TRYOYNVL</a>	Pol	297-304	RT(142-149)	2973..2996		human	B*1401
<a href="#">LPOGWKGSQA</a>	Pol	304-313	RT(149-158)	2994..3023	B	human	B*5401
<a href="#">SPAIFOSSM</a>	Pol	311-319	RT(156-164)	3015..3041		human	B7
<a href="#">AIFOSSMTK</a>	Pol	313-321	RT(158-166)	3021..3047	B	human	A*0301, A*1101
<a href="#">KONPDIVIV</a>	Pol	328-336	RT(173-181)	3066..3092	B	human	A*3002, Cw*1202
<a href="#">NPEIVIOYOY</a>	Pol	330-338	RT(175-183)	3072..3098		human	B18
<a href="#">HPDIVIOYOY</a>	Pol	330-338	RT(175-183)	3072..3098	B	human	B*3501
<a href="#">VIYOYMDL</a>	Pol	334-342	RT(179-187)	3084..3110	B	human	A*0201
<a href="#">IEELROHLL</a>	Pol	357-365	RT(202-210)	3153..3179	B	human	B*4001
<a href="#">IVLPEKDSW</a>	Pol	399-407	RT(244-252)	3279..3305		human	B*5701
<a href="#">LVGKL- NWASOIY</a>	Pol	415-426	RT(260-271)	3327..3362		human	B*1501
<a href="#">KLNWASOIY</a>	Pol	418-426	RT(263-271)	3336..3362	B	human	A*3002
<a href="#">OIYPGIKVR</a>	Pol	424-432	RT(269-277)	3354..3380	B	human	A*0301
<a href="#">YPGIKVROL</a>	Pol	426-434	RT(271-279)	3360..3386	B	human	B*4201
<a href="#">IPLTEAEL</a>	Pol	448-456	RT(293-301)	3426..3452		human	B*3501, B*5101
<a href="#">ILKEPVHGV</a>	Pol	464-472	RT(309-317)	3474..3500	B	human	A*0201
<a href="#">ILKEPVHGVY</a>	Pol	464-473	RT(309-318)	3474..3503	B	human	B*1501, Cw*1202
<a href="#">GOGOWTYOI</a>	Pol	488-496	RT(333-341)	3546..3572		human	B13
<a href="#">IYOEPFKNLK</a>	Pol	496-505	RT(341-350)	3570..3599	B	human	A*1101
<a href="#">RMRGAHTNDV</a>	Pol	511-520	RT(356-365)	3615..3644		human	A*3002
<a href="#">RMRGAHTNDVK</a>	Pol	511-521	RT(356-366)	3615..3647		human	A*0301
<a href="#">IAMESIVIW</a>	Pol	530-538	RT(375-383)	3672..3698		human	B*5801
<a href="#">PIOKETWETW</a>	Pol	547-556	RT(392-401)	3723..3752	B	human	A*3201
<a href="#">GAETFYVDGA</a>	Pol	591-600	RT(436-445)	3855..3884		human	A*6802
<a href="#">ETFYVDGAANR</a>	Pol	593-603	RT(438-448)	3861..3893		human	A66
<a href="#">ETKLGKAGY</a>	Pol	604-612	RT(449-457)	3894..3920		human	A*2601
<a href="#">IVTDSOYAL</a>	Pol	650-658	RT(495-503)	4032..4058		human	Cw*0802
<a href="#">VTDSOYALGI</a>	Pol	651-660	RT(496-505)	4035..4064		human	B*1503
<a href="#">OIIIEOLIKK</a>	Pol	675-683	RT(520-528)	4107..4133	B	human	A*1101
<a href="#">LFLDGIDKA</a>	Pol	715-723	RT(560)-Integrase(8)	4227..4253		human	B81
<a href="#">LPPIVAKEI</a>	Pol	743-751	Integrase(28-36)	4311..4337		human	B*4201
<a href="#">THLEGKIIL</a>	Pol	781-789	Integrase(66-74)	4425..4451		human	B*1510

<a href="#">HVASGYIEA</a>	Pol	793-801	Integrase(78-86)	4461..4487	B	human	B*5401
<a href="#">IEAEVIPAET</a>	Pol	799-808	Integrase(84-93)	4479..4508	B	human	B*4002
<a href="#">HTDNGSNF</a>	Pol	829-836	Integraser(114-121)	4569..4592		human	Cw5
<a href="#">STTVKAACWW</a>	Pol	838-847	Integrase(123-132)	4596..4625		human	B57
<a href="#">IOOEFGIPY</a>	Pol	850-858	Integrase(135-143)	4632..4658		human	B*1503
<a href="#">VRDOAEHL</a>	Pol	880-887	Integrase(165-172)	4722..4745		human	Cw18
<a href="#">KTAVOMAVF</a>	Pol	888-896	Integrase(173-181)	4746..4772		human	B*5701
<a href="#">AVFIHNFKRK</a>	Pol	894-903	Integrase(179-188)	4764..4793	B	human	A*0301, A*1101
<a href="#">FKRKGIGGY</a>	Pol	900-909	Integrase(185-194)	4782..4811		human	B*1503
<a href="#">KRKGGIGGY</a>	Pol	901-909	Integrase(186-194)	4785..4811		human	B*2705
<a href="#">GERIVDII</a>	Pol	912-919	Integrase(197-204)	4818..4841	B	human	B*4002
<a href="#">LIATDIOTK</a>	Pol	918-926	Integrase(203-211)	4836..4862	B	human	A11
<a href="#">KIONFRVYY</a>	Pol	934-942	Integrase(219-277)	4884..4910		human	A*3002
<a href="#">VPRRKAKII</a>	Pol	975-983	Integrase(260-268)	5007..5033		human	B42
<a href="#">RKAKIIRDY</a>	Pol	978-986	Integrase(263-271)	5016..5042		human	B*1503
<a href="#">RIRTWKSLVK</a>	Vif	17-26		5089..5118	B	human	A*0301
<a href="#">HMYISKKAK</a>	Vif	28-36		5122..5148		human	A*0301
<a href="#">ISKKAKGWE</a>	Vif	31-39		5131..5157		human	B*5701
<a href="#">HPRVSSEVHI</a>	Vif	48-57		5182..5211		human	B*0702
<a href="#">IPLGDAKLII</a>	Vif	57-66		5209..5238		human	B51
<a href="#">WHLGHGVSI</a>	Vif	79-87		5275..5301		human	B*1510
<a href="#">WHLGOGVSI</a>	Vif	79-87		5275..5301		human	B*3801
<a href="#">LGHGVSI EW</a>	Vif	81-89		5281..5307		human	B*5703
<a href="#">LADOLIHLHY</a>	Vif	102-111		5344..5373		human	B*1801
<a href="#">KTKPPLPSVKK</a>	Vif	158-168		5512..5544		human	A*0301
<a href="#">EAVRHFPRI</a>	Vpr	29-37		5643..5669		human	B51
<a href="#">AVRHFPRIW</a>	Vpr	30-38		5646..5672		human	B*5701
<a href="#">VRHFPRIW L</a>	Vpr	31-39		5649..5675		human	B27
<a href="#">FPRIWLHGL</a>	Vpr	34-42		5658..5684		human	B*0702, B*8101
<a href="#">ETYGDTWTGV</a>	Vpr	48-57		5700..5729		human	A*6802
<a href="#">DTWAGVEAIR</a>	Vpr	52-62		5712..5744		human	A*6801
<a href="#">AIIRILOOL</a>	Vpr	59-67		5733..5759	B	human	A*0201
<a href="#">CCFHCOVC</a>	Tat	30-37		5918..5941		human	Cw12
<a href="#">FOTKGLGISY</a>	Tat	38-47		5942..5971		human	B*1503
<a href="#">ITKGLGISYGR</a>	Tat	39-49		5945..5977		human	A*6801
<a href="#">KAVRLIKFLY</a>	Rev	14-23		6009..6038	B	human	B*5701, B*5801, B63
<a href="#">OAVRIIKILY</a>	Rev	14-23		6009..6038	C	human	B*5703
<a href="#">ERILSTYLGR</a>	Rev	57-66		8471..8500		human	A*0301
<a href="#">RPAEPVLOL</a>	Rev	66-75		8498..8527		human	B7
<a href="#">SAEPVLOL</a>	Rev	67-75		8501..8527	B	human	Cw*0501
<a href="#">YRLGVGALI</a>	Vpu	5-13		6074..6100	C	human	Cw18
<a href="#">FYRKILROR</a>	Vpu	29-37		6146..6172		human	A*3303
<a href="#">RVKEKYOHL</a>	gp160	2-10	gp120(2-10)				
<a href="#">AENLWVTVY</a>	gp160	31-39	gp120(31-39)	6315..6341		human	B*1801, B44
<a href="#">AENLWVTVYY</a>	gp160	31-40	gp120(31-40)	6315..6344		human	B*4402

<a href="#">TVYGVVPVK</a>	gp160	37-46	gp120(37-46)	6333..6362	B	human	A*0301
<a href="#">VPVWKEATTT</a>	gp160	42-51	gp120(42-51)	6348..6377		human	B*5501
<a href="#">VPVWKEATTTL</a>	gp160	42-52	gp120(42-52)	6328..6380		human	B*3501
<a href="#">LFCASDAKAY</a>	gp160	52-61	gp120(52-61)	6378..6407	B	human	A*2402
<a href="#">KAYETEVHNVW</a>	gp160	59-69	gp120(59-69)	5399..6431		human	B58
<a href="#">YETEVHNVW</a>	gp160	78-86	gp120(78-86)	6456..6482		human	B*3501
<a href="#">MHEDIISLW</a>	gp160	104-112	gp120(104-112)	6534..6560		human	B*3801
<a href="#">SVITOACPK</a>	gp160	199-207	gp120(199-207)	6819..6845		human	A*1101
<a href="#">SFEPIPIHY</a>	gp160	209-217	gp120(209-217)	6849..6875	B	human	A*2902
<a href="#">CAPAGFAIL</a>	gp160	218-226	gp120(218-226)	6876..6902		human	Cw1
<a href="#">RPNNTKRSI</a>	gp160	298-307	gp120(298-307)	7116..7145	B	human	B*0702
<a href="#">HIGPGRIFY</a>	gp160	310-318	gp120(310-318)	7152..7178		human	A*3002
<a href="#">RGPGRFVTI</a>	gp160	311-320	gp120(311-320)	7155..7184		human	A*0201
<a href="#">EIIIGDIROAY</a>	gp160	321-330	gp120(321-330)	7185..7214		human	A*2501
<a href="#">SFNCGGEFF</a>	gp160	375-383	gp120(375-383)	7374..7373	B	human	B*1516, Cw*0401
<a href="#">LPCRKIOII</a>	gp160	416-424	gp120(416-424)	7470..7496	B	human	B*5101
<a href="#">RIKIOINMW</a>	gp160	419-427	gp120(419-427)	7479..7505	B	human	A*3201
<a href="#">RAIEA0OHL</a>	gp160	557-565	gp41(46-54)	7893..7919		human	Cw*0304, Cw15
<a href="#">RAIEA0OHM</a>	gp160	557-565	gp41(46-54)	7893..7919		human	Cw8
<a href="#">OTRVLAIERYL</a>	gp160	577-587	gp41(66-76)	7953..7985	C	human	B*5802
<a href="#">ERYLKDOOL</a>	gp160	584-592	gp41(73-81)	7974..8000		human	B*1402
<a href="#">RYLKDOOLL</a>	gp160	585-593	gp41(74-82)	7977..8003	B	human	A*2402, A23
<a href="#">YLKDOOLL</a>	gp160	586-593	gp41(75-82)	7980..8003		human	B*0801
<a href="#">TAVPWNASW</a>	gp160	606-614	gp41(95-103)	8040..8066	B	human	B*3501
<a href="#">VFAVLSIVNR</a>	gp160	698-707	gp41(187-196)	8316..8345		human	A*3303
<a href="#">IVNRNROGY</a>	gp160	704-712	gp41(193-201)	8334..8360	B	human	A*3002
<a href="#">RLRDLILLIVTR</a>	gp160	770-780	gp41(259-269)	8532..8564	B	human	A*0301, A*3101
<a href="#">IVTRIVELL</a>	gp160	777-785	gp41(266-274)	8553..8579	B	human	A*6802
<a href="#">GRRGWEALKY</a>	gp160	786-795	gp41(275-284)	8580..8609	B	human	B*2705
<a href="#">RRGWEVLKY</a>	gp160	787-795	gp41(276-284)	8583..8609		human	A*0101
<a href="#">KYCWNLLOY</a>	gp160	794-802	gp41(283-291)	8604..8630	B	human	A*3002
<a href="#">OELKNSAVSL</a>	gp160	805-814	gp41(294-303)	8637..8666	B	human	B*4001
<a href="#">SLLNATDIAV</a>	gp160	813-822	gp41(302-311)	8661..8690	B	human	A*0201
<a href="#">LLNATDIAV</a>	gp160	814-822	gp41(303-311)	8664..8690	B	human	A*0201
<a href="#">EVAORAYR</a>	gp160	831-838	gp41(320-327)	8715..8738		human	A*3303
<a href="#">IPRRIROGL</a>	gp160	843-851	gp41(332-340)	8751..8777	B	human	B*0702
<a href="#">RIROGLERA</a>	gp160	846-854	gp41(335-343)	8760..8786		human	A*0205
<a href="#">ROGLERALL</a>	gp160	848-856	gp41(337-345)				
<a href="#">WPTVRERM</a>	Nef	13-20		8833..8856	B	human	B*0801
<a href="#">RMRRAEPAA</a>	Nef	19-27		8851..8877		human	B62
<a href="#">LEKHGAITS</a>	Nef	37-45		8905..8931		human	B*4001, B50
<a href="#">FPVTPOVPL</a>	Nef	68-76		8998..9024		human	B*0702
<a href="#">FPVTPOVPLR</a>	Nef	68-77		8998..9027	B	human	B+0702
<a href="#">TPOVPLRPM</a>	Nef	71-79		9007..9033	B	human	B*0702
<a href="#">RPOVPLRPM</a>	Nef	71-79		9007..9033		human	B*4201, B*4202

<a href="#">RPOVPLRPMTY</a>	Nef	71-81		9007..9039	B	human	B35
<a href="#">OVPLRPMTYK</a>	Nef	73-82		9013..9042	B	human	A*0301, A*1101
<a href="#">VPLRPMTY</a>	Nef	74-81		9016..9039	B	human	B*3501
<a href="#">PLRPMTYK</a>	Nef	75-82		9019..9042	B	human	A*1101
<a href="#">LRPMTYKAA</a>	Nef	76-84		9022..9048	B	human	B*2703
<a href="#">RPMTYKAAL</a>	Nef	77-85		9025..9051	B	human	B*0702
<a href="#">KAAFDSLSEF</a>	Nef	82-90		9040..9066		human	B*5703, B*5801
<a href="#">KAAVDLSHFL</a>	Nef	82-91		9040..9069		human	Cw8
<a href="#">GAFDLSFFL</a>	Nef	83-91		9043..9069		human	A*0205
<a href="#">AAFDSLSEFL</a>	Nef	83-91		9043..9069		human	B*5703
<a href="#">AAVDLSHFL</a>	Nef	83-91		9043..9069	B	human	Cw*0802
<a href="#">AALDLSHFL</a>	Nef	83-91		9043..9069		human	Cw3
<a href="#">AVDLSHFLK</a>	Nef	84-92		9046..9072	B	human	A*0301, A*1101
<a href="#">FLKEKGGL</a>	Nef	90-97		9064..9087	B	human	B*0801
<a href="#">KEKGGLEGL</a>	Nef	92-100		9070..9096	B	human	B*4001, B*4002
<a href="#">RRODILDLWI</a>	Nef	105-114		9109..9138	B	human	B*2705
<a href="#">RRODILDLVY</a>	Nef	105-115		9109..9141		human	B18
<a href="#">KROEILDLVY</a>	Nef	105-115		9109..9141		human	Cw7
<a href="#">RODILDLWI</a>	Nef	106-114		9112..9138		human	B13
<a href="#">RODILDLV</a>	Nef	106-114		9112..9138		human	B*1302
<a href="#">HTOGYFPDW</a>	Nef	116-124		9142..9168		human	B*5703, B*5801, B57
<a href="#">TOGYFPDWONY</a>	Nef	117-127		9145..9177	B	human	B*1501
<a href="#">YFPDWONYT</a>	Nef	120-128		9154..9180	B	human	A29, B*3501, Cw6
<a href="#">FPDWONYTP</a>	Nef	121-129		9157..9183	B	human	B*5401
<a href="#">YTPGPGIRY</a>	Nef	127-135		9175..9201		human	B57, B63
<a href="#">TPGPGVRYPL</a>	Nef	128-137		9178..9207	B	human	B*0702, B*4201, B*4202
<a href="#">TRYPLTFGW</a>	Nef	133-141		9193..9219		human	A33
<a href="#">RYPLTFGW</a>	Nef	134-141		9196..9219	B	human	A*2402
<a href="#">YPLTFGWY</a>	Nef	135-143		9199..9225	B	human	B*1801, B*5301
<a href="#">YPLTFGWCF</a>	Nef	135-143		9199..9225		human	B53
<a href="#">PLTFGWYKYL</a>	Nef	136-145		9202..9231	B	human	A*0201
<a href="#">LTFGWCFKL</a>	Nef	137-145		9205..9231		human	B57, B63
<a href="#">VLEWRFSRL</a>	Nef	180-189		9334..9363	B	human	A*0201
<a href="#">WRFSRLAF</a>	Nef	183-191		9343..9369		human	B*1503



**Fig. 1.** Presentation of viral peptides by an infected cell to a cytotoxic T lymphocyte, using the MHC-I complex. (Illustration by Kaiser 2008).

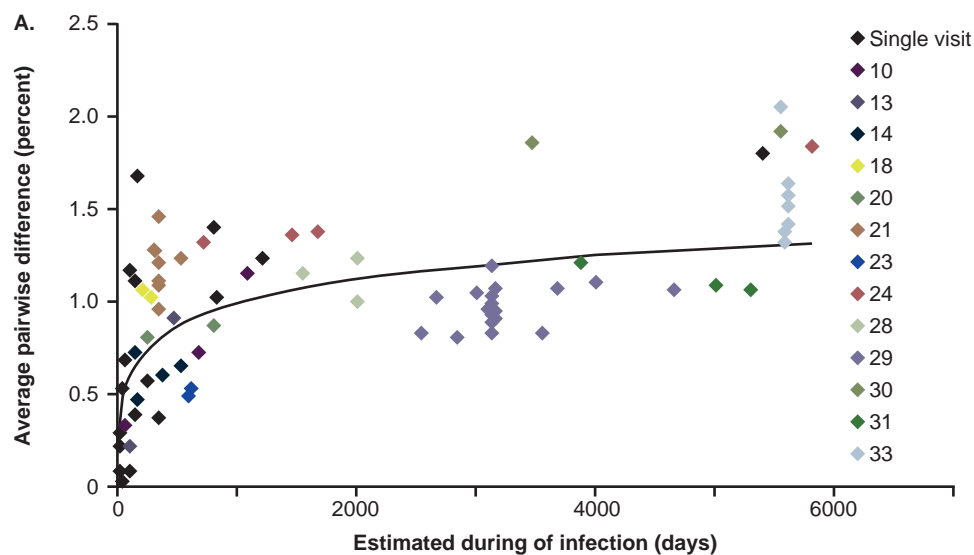
control, but some viruses always escape by mutating CTL targets. If the HIV peptides presented by the MHC-I molecules happen to be located on viral protein domains critical for replication, escape mutants will suffer lowered replication capacity (fitness loss). In such patients, there will be a long war of attrition between the immune system and the virus (Boutwell, Rowley, and Essex 2009). On the other hand, if the HIV peptides presented by the MHC-I molecules are few in number and/or located on less critical regions of HIV proteins, the virus will escape the CTLs easily without significant fitness

cost. The virus will destroy infected T lymphocytes, overpower the CTLs, and cause AIDS after a short latency period (Yue et al. 2015).

Most patients are infected by a single viral particle or a single infected cell (Keele et al. 2008). The virus rapidly diversifies within the host. Subsequent immune selection curbs the rate of viral diversification (see fig. 2, after Maldarelli et al. 2013). Contrary to Darwinian thinking, the replication capacity of the early viral population is high, and quickly declines along an exponential curve (see fig. 3, after Arnott et al. 2010), presumably due to accumulation of escape mutants with compromised fitness. However, as recognizable CTL targets dwindle, and as CD4<sup>+</sup> T cells are killed by the virus, the virus gradually diversifies again, with concurrent increase in replication capacity and plasma viral load (Arnott et al. 2010; Maldarelli et al. 2013; Troyer et al. 2005). The relationship between viral fitness, diversity, and plasma viral load is schematically illustrated in Fig. 4.

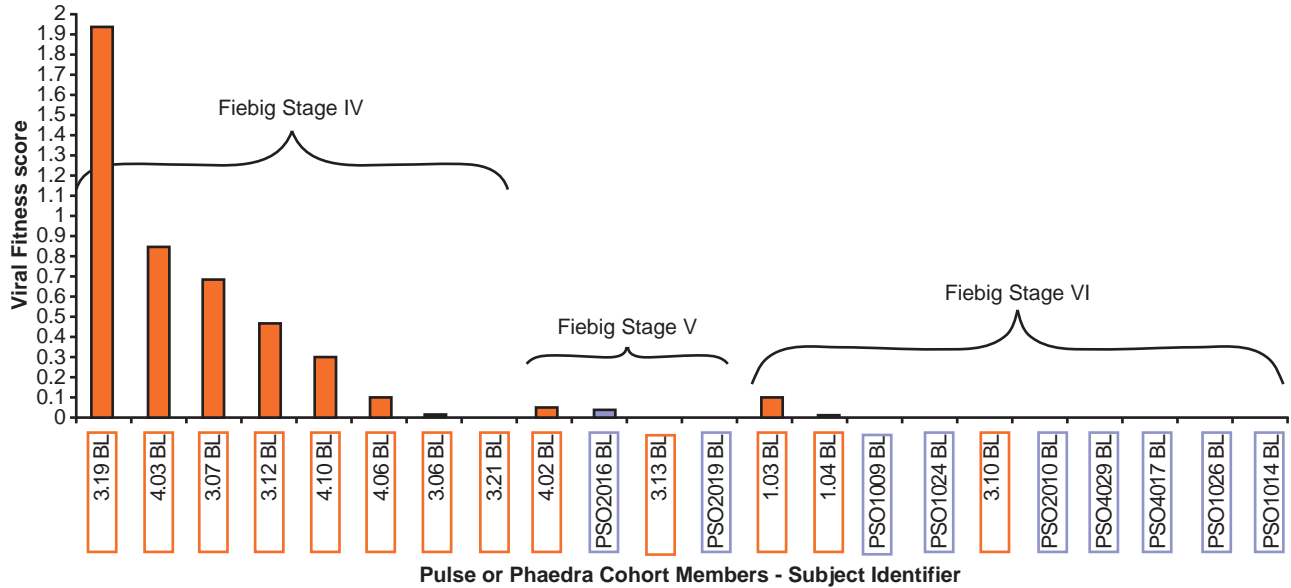
### Long-Term Evolution

If a population is genetically homogenous, individuals will have similar MHC phenotypes and their CTLs would target similar HIV peptides. Passage of the virus through the population will consistently select for the same immune escape mutations. Although the resulting mutants are adapted to protective CTLs, their replication capacity will be compromised. This is indeed found in Japan and in Africa (Nomura et al. 2013; Payne et al. 2014). In Japan, a reduction of replication capacity was observed in subtype B of HIV-1 between 1995 and 2009. Meanwhile, MHC A\*24, a common protective MHC type, lost its protective effect in the population.



**Fig. 2.** Nonlinear accumulation of HIV diversity over time. Overall diversity was determined from alignments of pro-pol sequences. Multiple samples from the same patient are shown with the same color. Patients for whom only a single sample was available for analysis are shown in black. After Fig. 2A of Maldarelli et al. 2013 at <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3754011/figure/F2/>.



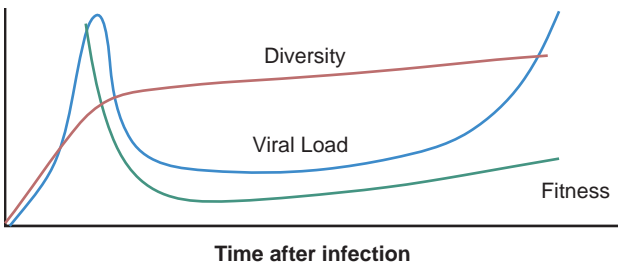


**Fig. 3.** Viral fitness of baseline isolates obtained from acute HIV-1 infection subjects (PULSE) and from early chronic HIV-1 infection subjects (PHAEDRA) relative to the stage of seroconversion, after Fig. 10 of Arnott et al. 2010 at <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC2936565/figure/pone-0012631-g010/>.

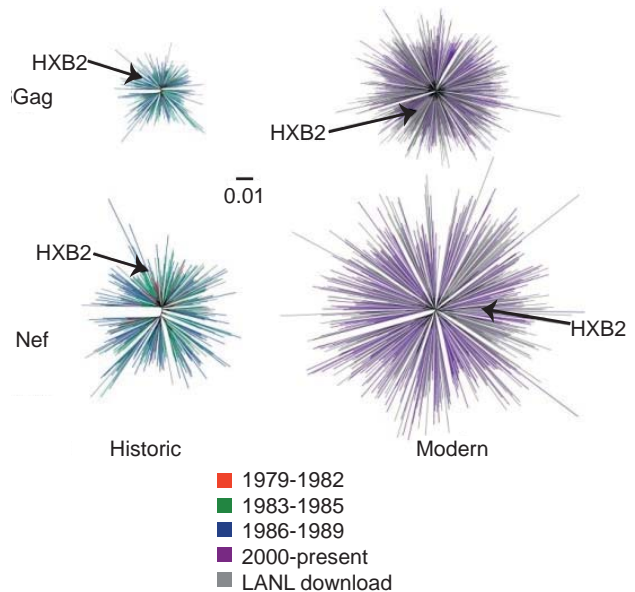
The fitness loss of HIV-1 was associated with mutations in the *gag* and *pol* genes. Similarly, viral replication capacity in Botswana was lower than in South Africa because the epidemic in Botswana had been ongoing for a longer period of time, resulting in a more attenuated virus. Interestingly, the average CD4 cell count in treatment-naïve Botswanan patients was lower than in South African patients, i.e., decreased fitness is associated with increased virulence, in agreement with previous analysis of the Nef protein (Liu 2015). The African study also revealed adaptation of HIV-1 to certain MHC types, particularly to the well-known protective types, B\*57 and B\*58.01.

On the other hand, the North American population is more varied in MHC types. Therefore, when HIV-1 is transmitted into a new host, it is less likely to

encounter the same selective pressure as in the previous host. Thus immune escape mutations are more likely to revert in favor of maximum replication capacity. After several decades of interhost evolution in North America, the viral genes have become more diverse, as a result of mutations driven by diverse CTL responses (Cotton et al. 2014, see star-like phylogeny in fig. 5). There are small yet significant increases in the frequency of escape mutations against protective MHC types. However, the study did not find a significant change in replication capacity,



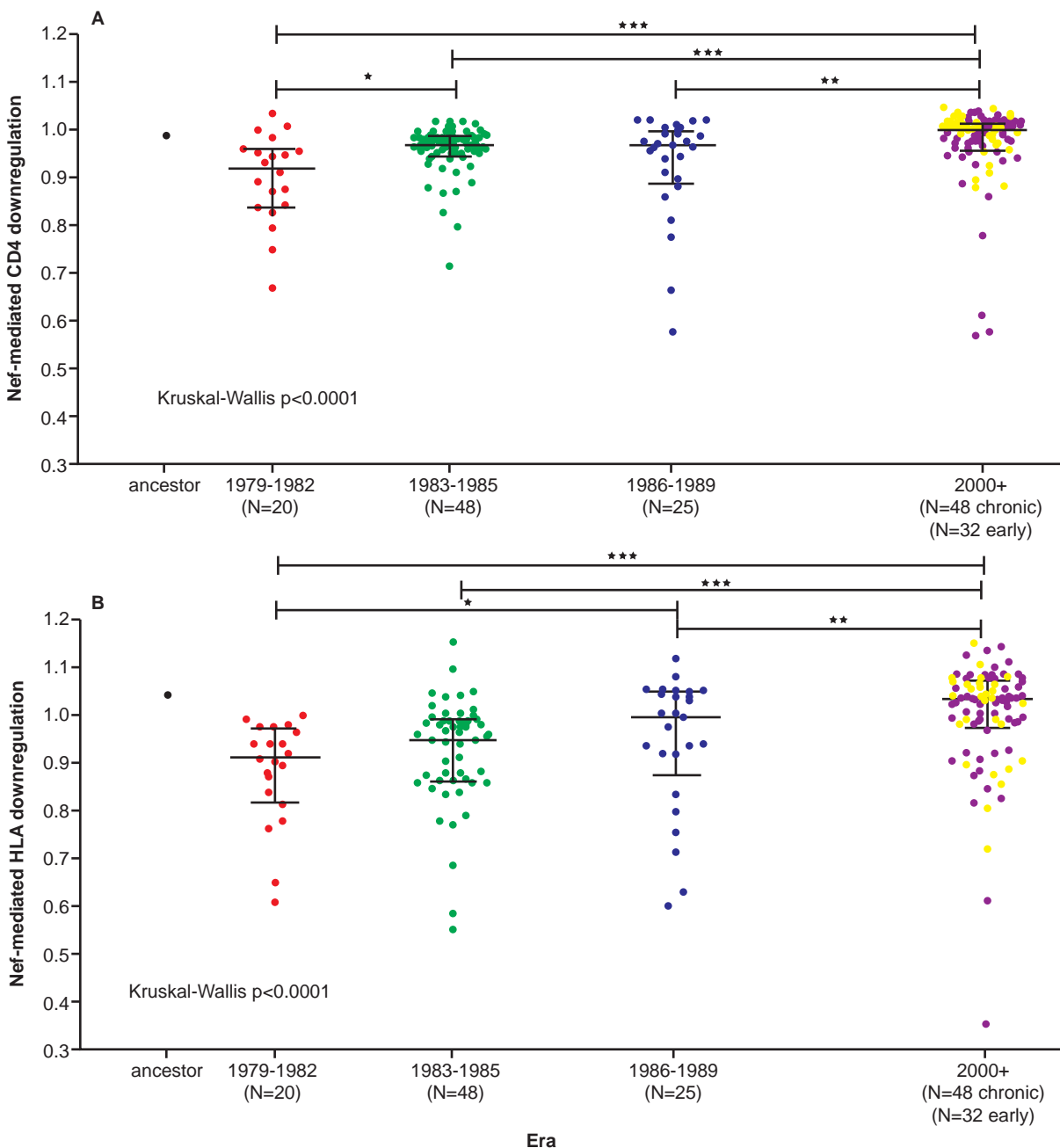
**Fig. 4.** Viral diversity, fitness, and plasma viral load during HIV-1 infection. The diversity curve is based on Fig. 2A of Maldarelli et al. 2013. The fitness curve is based on Fig. 10 of Arnott et al. 2010. The viral load curve is based on Fig. 4 of an article on the website of the National Institute of Allergy and Infectious Diseases entitled “The Relationship Between the Human Immunodeficiency Virus and the Acquired Immunodeficiency Syndrome”. <http://www.niaid.nih.gov/topics/hivaids/understanding/howhivcausesaids/pages/relationshiphivaids.aspx>.



**Fig. 5.** Diversity of North American Gag and Nef sequences from historic (1979–1989) and modern (2000+) eras, after Fig. 1 of Cotton et al. 2014. <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3998893/figure/pgen-1004295-g001/>.

although the fitness of modern viruses is distributed over a broader range of values. The ability of the Nef protein to down-regulate CD4 and MHC molecules was gradually optimized to the level of the inferred ancestral virus. It is interesting that the improvement of Nef activities since 1979 is reaching the maximum

(notice asymmetrical distribution of dots in Fig. 6 after Cotton et al. 2014, with more dots below the average values than above). Optimization of Nef functions may also account for the increase in viral load levels and decreased CD4 counts in HIV-1 infected patients in the Netherlands (Gras et al. 2009).



**Fig. 6. A.** CD4 downregulation activities of the inferred ancestral Nef sequence (mean $\pm$ S.E.M. of eight replicate measurements) and patient-derived Nef clones from various eras (one per patient, representing the mean of triplicate measurements). CD4 downregulation values are normalized to that of HIV subtype B control Nef strain SF2, such that a value of 1 indicates CD4 downregulation activity equal to that of SF2 while values  $>1$  and  $<1$  indicate activities higher or lower than SF2 respectively. Modern Nefs exhibited significantly higher CD4 downregulation activity compared to historic Nefs (Kruskal-Wallis  $p < 0.0001$ ). **B.** SF2-normalized HLA class I downregulation activities of inferred ancestral (mean $\pm$ S.E.M. of 8 replicate measurements) and patient-derived Nef sequences (one per patient, mean of triplicate measurements). Modern Nefs exhibited significantly higher HLA downregulation activity compared to historic Nefs (Kruskal-Wallis  $p < 0.0001$ ). After Figs. 8B and 8C of Cotton et al. 2010 at <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3998893/figure/pgen-1004295-g008/>.

**Random Drift**

During the early stages of infection, one founder virus expands exponentially to establish a quasispecies. The process is largely random and subject to genetic drift (Keele et al. 2008). The resulting population provides the basis on which immune selection subsequently acts. Even with strong CTL selection during the first three months of infection, a study by Abrahams et al. (2013) failed to reveal significant differences in the rates of HIV diversification between rapid and slow progressors, indicating much diversification is not attributable to immune selection. Maldarelli et al. (2013) discovered that even non-synonymous mutations may be nearly-neutral and immune to selection.

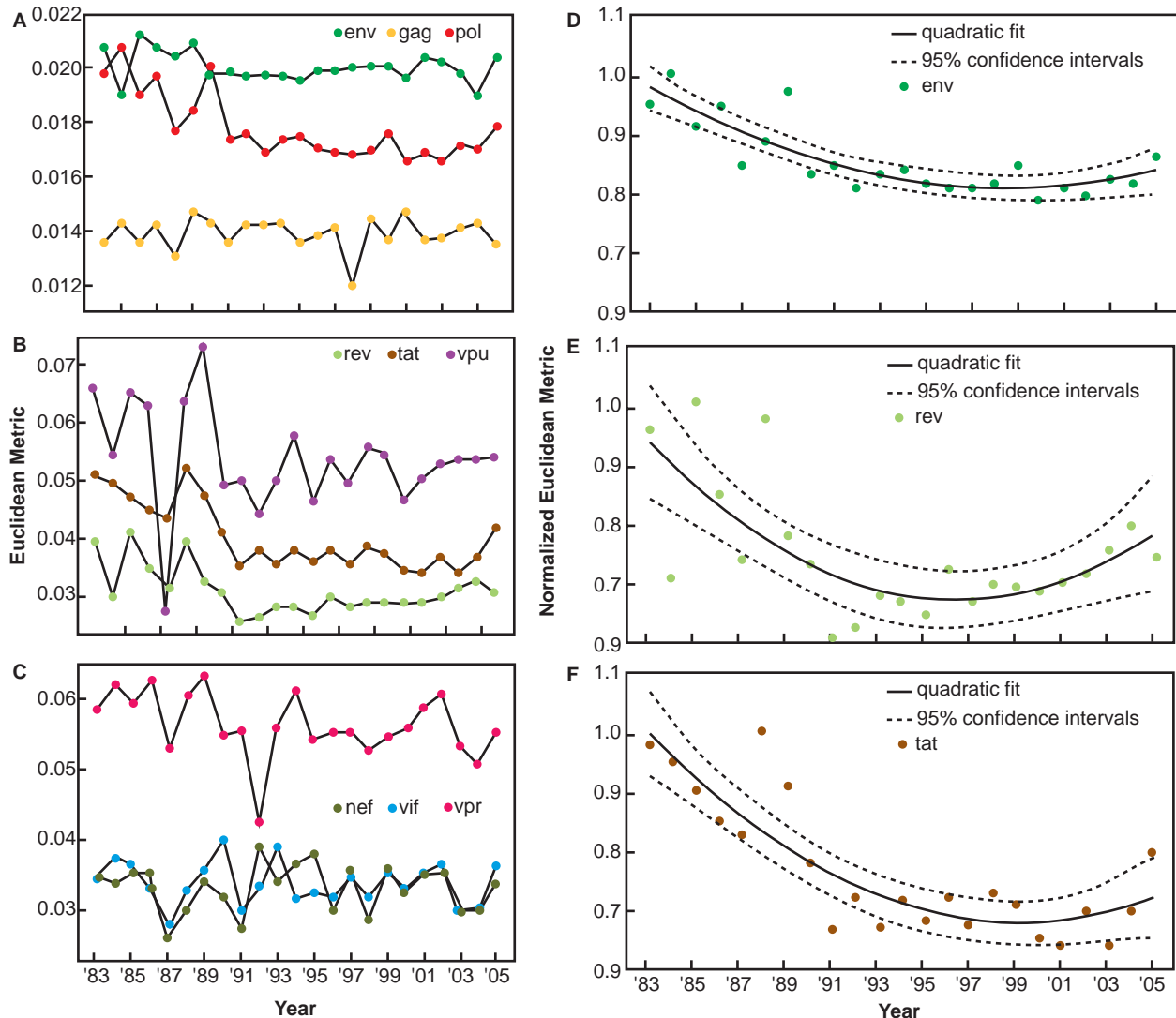
Pandit and Sinha (2011) analyzed the evolution of codon usage by HIV-1 and compared the codon preferences of the virus and that of the human host. They found the differences in codon preferences between the virus and the host narrowed significantly

between the early 1980s and the late 1990s, indicating a period of adaptation between the two species over 15 years. However, the trend changed since then. Codon usage evolution of HIV-1 became stagnant since the 1990s and in fact is drifting away from preferred codon usage of the human species (see fig. 7, after Pandit and Sinha 2011).

**The Effect of Antiretroviral Therapy**

The above discussion did not consider antiviral treatment. However, in developed countries, antiretroviral therapy has become the primary engine in HIV evolution, driving the virus toward extinction.

Antiretroviral drugs are designed to suppress viral replication, but are frequently resisted by HIV-1 through target mutations. However, drug-resistant mutations are associated with reduced replication capacity, compromised transmissibility, and lower plasma viral load (Machouf et al. 2006; Pinggen et al. 2014). For this reason, many clinicians see a value in



**Fig. 7.** Temporal variation in the codon usage pattern of HIV-1 genes with respect to human host, after Fig. 5 of Pandit and Sinha, 2011 at <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3245234/figure/pone-0028889-g005/>.

maintaining sup-optimal therapy when alternative drugs are not available.

There is an estimate that the *in vivo* mutation rate of HIV-1 is close to its error threshold (Tripathi et al. 2012). Therefore it is feasible to use drugs that increase the mutation rate in reverse transcription of RNA to drive the virus toward error-catastrophe.

### How Far Has HIV-1 Degenerated?

Since the AIDS pandemic started three decades ago, the evolution of HIV-1 has been carefully observed and systematically documented. This is especially true for subtype B, the dominant subtype in developed countries. A quick comparison of historical and modern sequences revealed continuous divergence within subtype B. Nucleotide identity decreased from  $94.8 \pm 0.94\%$  among genomic sequences collected between 1982 and 1985, to  $90.0 \pm 1.35\%$  among sequences collected between 2011 and 2013. The consensus sequences also differed by about 4%.<sup>1</sup> Nucleotide identity between subtypes is 70–90% (See review by Ariën, Vanham, and Arts 2007). If all subtypes of group M indeed descended from a common ancestor transmitted to mankind in the early 1900s, the degeneration rate of HIV-1 would be comparable to that of the H1N1 strain of the influenza virus, which mutated more than 15% of its genome in about a century (see Carter and Sanford 2012. The genome sizes of the two viruses are comparable). While the original H1N1 influenza virus has gone extinct, HIV-1 still thrives in various forms. This may have to do with the persistent nature of HIV infection. However, in highly prevalent areas of Africa, as well as globally, subtype C, which has a lower replication capacity, is replacing other subtypes due to its relatively higher transmissibility and longer survival of the host (Ariën, Vanham, and Arts 2007).

Nonetheless, HIV-1-associated mortality is still higher in subtype C-dominant areas than in subtype B-dominant areas, presumably because the latter are wealthier countries where antiviral therapies are more accessible (Ortblad, Lozano, and Murray 2013). This indicates that viral attenuation is not the primary cause of the currently observed decline in AIDS mortality.

### Conclusion

HIV-1 adapts to host codon preferences, immune pressure, and antiretroviral drugs through mutation. However, most such adaptive mutations carry a fitness cost. Even with diploidy, genome

recombination, and persistence in the DNA form, HIV-1 appears to be losing fitness. By coreceptor switch and optimization of viral genes for maximum replication efficiency, the virus may gain virulence, but high virulence compromises its preservation in the human population. While the less virulent subtype C seems to be taking over the epidemic, antiretroviral therapies are driving down the fitness of all subtypes of HIV-1.

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<sup>1</sup> Complete or nearly complete proviral genomes of subtype B were retrieved from the HIV Sequence Database of the Los Alamos National Laboratory and aligned with Clustal Omega. Where there were multiple sequences from the same patient, only one sequence per patient was chosen. There were altogether 24 sequences from different patients, 17 of which from the United States, available to represent the period between 1982 and 1985. Thirty-three sequences between 2011 and 2013 (23 from the U.S.) were used to represent modern genomes. Using only U.S. sequences yielded similar results (Nucleotide identity of  $94.9 \pm 0.90\%$  among historical sequences, and  $90.5 \pm 1.48\%$  among modern sequences).

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